

10-12-04
Express Mail Mailing Label No. EV334229705USPage 1 of 2
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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/671,326	09/25/2003	Deping Wang	RIB-007

021323
TESTA, HURWITZ & THIBEAULT, LLP
HIGH STREET TOWER
125 HIGH STREET
BOSTON, MA 02110

RECEIVED

CONFIRMATION NO. 1502

FORMALITIES LETTER



OC000000013487383

TESTA, HURWITZ & THIBEAULT, LLP
PATENT DEPARTMENT

Date Mailed: 08/09/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

COPY

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$3257** as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:Total additional fee(s) required for this application is **\$3707** for a Small Entity

- **\$385** Statutory basic filing fee.
- **\$65** Late oath or declaration Surcharge.

10/13/2004 WASFAW1 00000023 10671326


01 FC:2001	395.00 OP
02 FC:2051	65.00 OP
03 FC:2201	44.00 OP
04 FC:2202	252.00 OP
05 FC:2203	150.00 OP

- Total additional claim fee(s) for this application is **\$3257**

- **\$43** for 1 independent claims over 3.
- **\$3069** for **341** total claims over 20.
- **\$145** for multiple dependent claim surcharge.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

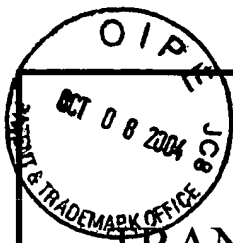
*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



Express Mail Mailing Label No. EV334229705US

TRANSMITTAL
FORM

Application Serial Number	10/671,326
Filing Date	September 25, 2003
First Named Inventor	Wang
Group Art Unit	1614
Examiner Name	Not yet assigned
Attorney Docket No.	RIB-007
Patent No.	Not applicable
Issue Date	Not applicable

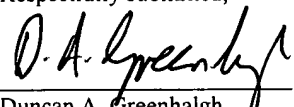
ENCLOSURES (check all that apply)

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|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form
<input checked="" type="checkbox"/> Check Attached
<input type="checkbox"/> Copy of Fee Transmittal Form
<input checked="" type="checkbox"/> Amendment/Response
<input checked="" type="checkbox"/> Preliminary
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____]
<input type="checkbox"/> Petition for Extension of Time
<input checked="" type="checkbox"/> Sixth Supplemental Information Disclosure Statement
<input checked="" type="checkbox"/> Form PTO-1449
<input checked="" type="checkbox"/> Copies of IDS Citations (B38, B39)
<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Sequence Listing submission
<input type="checkbox"/> Paper Copy/CD
<input type="checkbox"/> Computer Readable Copy
<input type="checkbox"/> Statement verifying identity of above | <input checked="" type="checkbox"/> Copy of Notice to File Missing Parts of Application
<input type="checkbox"/> Formal Drawing(s)
<input type="checkbox"/> Request For Continued Examination (RCE) Transmittal
<input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)
<input type="checkbox"/> Terminal Disclaimer
<input checked="" type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application
<input type="checkbox"/> Small Entity Statement
<input type="checkbox"/> CD(s) for large table or computer program
<input type="checkbox"/> Amendment After Allowance
<input type="checkbox"/> Request for Certificate of Correction
<input type="checkbox"/> Certificate of Correction (in duplicate) | <input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences
<input type="checkbox"/> Appeal Brief (in triplicate)
<input type="checkbox"/> Status Inquiry
<input checked="" type="checkbox"/> Return Receipt Postcard
<input type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8
<input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8
<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below)
<input checked="" type="checkbox"/> Response to Notice to File Missing Parts
<input checked="" type="checkbox"/> Supplemental Application Data Sheet |
|--|---|--|


CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator
Testa, Hurwitz & Thibault, LLP
High Street Tower
125 High Street
Boston, MA 02110
Tel. No.: (617) 248-7000
Fax No.: (617) 248-7100

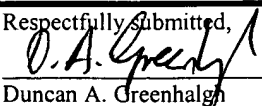
SIGNATURE BLOCK

Respectfully submitted,

Duncan A. Greenhalgh
Atty/Agent for Applicant(s)
Testa, Hurwitz & Thibault, LLP
High Street Tower
125 High Street
Boston, MA 02110

Date: October 8, 2004
Reg. No. 38,678
Tel. No.: (617) 248-7317
Fax No.: (617) 248-7100

	Complete if Known		
	Application Serial Number	10/671,326	
	Filing Date	September 25, 2003	
	First Named Inventor	Wang	
	Group Art Unit	1614	
	Examiner Name	Not yet assigned	
		Attorney Docket No.	RIB-007

METHOD OF PAYMENT				FEE CALCULATION (continued)																																																																																							
1. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other				3. ADDITIONAL FEES																																																																																							
2. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531. <input type="checkbox"/> Required Fees (copy of this sheet enclosed). <input checked="" type="checkbox"/> Additional fee required under 37 CFR 1.16 and 1.17. <input checked="" type="checkbox"/> Overpayment Credit.				<table border="1"> <thead> <tr> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>130</td> <td>65</td> <td>Surcharge - late filing fee or oath</td> <td>65.00</td> </tr> <tr> <td>50</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>130</td> <td>130</td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>2,520</td> <td>2,520</td> <td>Request for ex parte reexamination</td> <td></td> </tr> <tr> <td>110</td> <td>55</td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>430</td> <td>215</td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td>980</td> <td>490</td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>1530</td> <td>765</td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>2080</td> <td>1040</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>340</td> <td>170</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>340</td> <td>170</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>340</td> <td>170</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>130</td> <td>130</td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>180</td> <td>180</td> <td>Submission of Information Disclosure Statement</td> <td></td> </tr> <tr> <td>790</td> <td>395</td> <td>Filing a submission after final rejection (37 CFR 1.129(a))</td> <td></td> </tr> <tr> <td>790</td> <td>395</td> <td>For each additional invention to be examined (37 CFR 1.129(b))</td> <td></td> </tr> <tr> <td>100</td> <td>100</td> <td>Certificate of Correction for applicant's error</td> <td></td> </tr> <tr> <td>110</td> <td>55</td> <td>Submission of Terminal Disclaimer</td> <td></td> </tr> <tr> <td colspan="2"></td> <td>Other fee (Specify)</td> <td></td> </tr> <tr> <td colspan="2"></td> <td>Other fee (Specify)</td> <td></td> </tr> </tbody> </table>				Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	130	65	Surcharge - late filing fee or oath	65.00	50	25	Surcharge - late provisional filing fee or cover sheet		130	130	Non-English specification		2,520	2,520	Request for ex parte reexamination		110	55	Extension for reply within first month		430	215	Extension for reply within second month		980	490	Extension for reply within third month		1530	765	Extension for reply within fourth month		2080	1040	Extension for reply within fifth month		340	170	Notice of Appeal		340	170	Filing a brief in support of an appeal		340	170	Request for oral hearing		130	130	Petitions to the Commissioner		180	180	Submission of Information Disclosure Statement		790	395	Filing a submission after final rejection (37 CFR 1.129(a))		790	395	For each additional invention to be examined (37 CFR 1.129(b))		100	100	Certificate of Correction for applicant's error		110	55	Submission of Terminal Disclaimer				Other fee (Specify)				Other fee (Specify)	
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CORRESPONDENCE ADDRESS	SIGNATURE BLOCK
Direct all correspondence to: Patent Administrator Testa, Hurwitz & Thibault, LLP High Street Tower-125 High Street Boston, MA 02110 Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100	Respectfully submitted,  Duncan A. Greenhalgh Attorney for the Applicants Testa, Hurwitz & Thibault, LLP High Street Tower-125 High Street Boston, MA 02110 Date: October 8, 2004 Reg. No.: 38,678 Tel. No.: (617) 248-7317 Fax No.: (617) 248-7100



PATENT
Attorney Docket No. RIB-007
(7995/11)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Wang et al. CONFIRMATION NO.: 1502
SERIAL NO.: 10/671,326 GROUP NO.: 1614
FILING DATE: September 25, 2003 EXAMINER: Not yet assigned
TITLE: BIFUNCTIONAL HETEROCYCLIC COMPOUNDS AND METHODS OF
MAKING AND USING SAME

RESPONSE TO NOTICE TO FILE MISSING PARTS

Mail Stop Missing Parts
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Notice to File Missing Parts mailed August 9, 2004 (copy enclosed), for the above-referenced patent application, enclosed herewith are:

1. Executed oath or declaration; and
2. A check in the amount of \$906.00 for payment as follows:

Late oath or declaration surcharge (small entity)	\$65.00
Statutory basic filing fee (small entity)	\$395.00
Excess claim fees (small entity)	\$446.00

Also submitted herewith are the following documents:

1. Preliminary Amendment;
2. Supplemental Application Data Sheet; and
3. Supplemental Information Disclosure Statement and accompanying Form PTO-1449

Applicants believe that the check submitted herewith covers all required fees. If there are any additional fees due, the Director of the U.S. Patent and Trademark Office is hereby authorized to charge any such fee to Deposit Account No. 20-0531.

Date: October 8, 2004
Reg. No. 38,678

Tel. No.: (617) 248-7317
Fax No.: (617) 248-7100

Duncan A. Greenhalgh
Attorney for Applicants
Testa, Hurwitz, & Thibault, LLP
High Street Tower
125 High Street
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